

#### **Curriculum Vitae**



## RODNEY STEWART READ, Ph.D., P.Eng., P.Geol., P.Geo. Principal Geotechnical Engineer

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#### **S**YNOPSIS

Dr. R.S. (Rod) Read, P.Eng., P.Geol., P.Geo. is President and Principal Consultant of RSRead Consulting Inc., a Canadian engineering consulting firm specializing in geotechnical engineering and applied rock mechanics. He has over 30 years of experience in projects related to pipeline geotechnics, geohazard assessment, transportation (railway and highway) systems, petroleum geomechanics, underground nuclear waste management research, and other civil/mining applications. This experience includes development and application of geohazard assessment approaches for pipelines and linear infrastructure, in situ geological/geotechnical characterization, instrumentation and monitoring system development, soil and rock laboratory testing program development, numerical analysis, and regulatory support. Dr. Read has authored over 100 publications and technical reports related to his work including several publications on the Turtle Mountain Monitoring Project at the site of the 1903 Frank Slide, and has acted as contributing author to the ASME book "Pipeline Geo-Environmental Design and Geohazard Management" (2008) and the book "Rock Mechanics and Engineering" (2017). He is co-editor and contributing author to the ASME book "Pipeline Geohazards: Planning, Design, Construction and Operation" to be released in 2017. He has co-chaired several geohazard sessions at the International Pipeline Conference (IPC) and Banff Pipeline Workshop, and has served as an Adjunct Professor at the University of Calgary.

#### **EDUCATION**

Ph.D. (1994), Department of Civil & Geological Engineering

University of Manitoba, Winnipeg, MB, Canada

B.A.Sc. (1984), Department of Geological Engineering University of British Columbia, Vancouver, BC, Canada

| PERSONAL             | Nationality<br>Birthplace | Canadian<br>Revelstoke, BC, Canada                                      |
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|                      | ы сприсе                  | nevelstoke, bc, Callada   |
| Professional         | 2017 – present            | Registered Professional Engineer and Geoscientist, Saskatchewan (APEGS) |
| <b>A</b> FFILIATIONS | 2013 – present            | Registered Professional Geoscientist, British Columbia (APEGBC)         |
|                      | 2002 – present            | Registered Professional Geologist, Alberta (APEGA)                      |
|                      | 2002 – present            | Registered Professional Engineer, British Columbia (APEGBC)             |
|                      | 1997 – present            | Registered Professional Engineer, Alberta (APEGA)                       |
|                      | 1987 – present            | Registered Professional Engineer, Manitoba (APEGM)                      |
|                      | 2012 – present            | Member, American Society of Mechanical Engineers                        |
|                      | 2000 – present            | Member, Society of Petroleum Engineers                                  |
|                      | 1989 – present            | Member, Tunnelling Association of Canada (TAC)                          |
|                      | 1999 – present            | Member, American Rock Mechanics Association                             |
|                      | 1998 – present            | Member, International Society for Rock Mechanics (ISRM)                 |
|                      | 1998 – present            | Member, Canadian Geotechnical Society (CGS)                             |
|                      | 1998 – 1999               | Adjunct Professor, Dept. of Civil Engineering, University of Calgary    |
|                      | 1998 – 1999               | Committee Member, Calgary Chapter of CGS                                |
|                      | 1984 – 1987               | Engineer-in-training, (APEBC); Member, Vancouver Geotechnical Society   |

#### **EXPERIENCE HIGHLIGHTS**

Geohazard assessment - Subject Matter Expert (SME) in the development and application of geohazard assessment approaches for several major pipeline projects in western and northern Canada, Alaska, Peru, Colombia, Ecuador and others. Geotechnical engineering lead on the Turtle Mountain Monitoring Project at the site of the 1903 Frank Slide in southern Alberta, Canada. Ongoing development of pipeline geohazard assessment methodologies, software and supporting data management systems.

Geomechanical analysis of pipeline and petroleum-related processes - analysis of uplift resistance and geomechanical testing of frozen soil, right-of-way preparation methods and quantities, Arctic trenching trials, pipeline deformations due to slope movement, potential karst collapse under pipelines, gas storage feasibility and associated applications of microseismic monitoring, water disposal issues related to oil sands development, borehole stability analysis of petroleum wells and horizontal directional drillholes, and drilling/laboratory testing program planning.

Surface and underground instrumentation and monitoring systems - planning and installation of geotechnical monitoring systems for underground nuclear waste management research, railway tunnel stability assessment, and landslide monitoring and early warning system development as part of the Turtle Mountain Monitoring Project in Crowsnest Pass, Alberta – the site of the 1903 Frank Slide. Analysis of data from complex monitoring systems to assess stability conditions and to back analyze fundamental geomechanical response characteristics was the basis for Doctoral Thesis "Interpreting Excavation-Induced Displacements around a Tunnel in Highly Stressed Granite" (University of Manitoba, 1994).

Nuclear waste management research - Principal Investigator/Experiment Manager at Atomic Energy of Canada Limited's (AECL's) Underground Research Laboratory for large multi-disciplinary insitu investigations, including the Mine-by Experiment, Heated Failure Tests, Excavation Stability Study, and Thermal-Mechanical Stability Studies, addressing fundamental issues related to rock mass response to excavation and heating, tunnel instability and excavation damage zone (EDZ) development. Consultant to AECL, Ontario Power Generation, Nuclear Waste Management Organization, and several international organizations regarding geomechanics and monitoring issues associated with nuclear waste management research.

| AWARDS |   |   |          |   |   |
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Association of Professional Engineers of the Province of Manitoba's 1997

Early Achievement Award

First Prize in Tunnelling Association of Canada (TAC) 1995

**Graduate Student Thesis Award competition** 

1983 George E. Winkler Memorial Scholarship

#### Work **EXPERIENCE**

2000 - Present

#### President and Principal Consultant, RSRead Consulting Inc., Okotoks, AB, Canada

Principal consultant focused on geohazard assessment, geotechnical engineering related to pipeline and railway geotechnics, instrumentation, geomechanical analysis, slope stability analysis, rock mechanics research and development, project/program planning and management, and technical peer review of issues related to international nuclear waste disposal. Involved in geotechnical design, geohazard assessment and engineering for the Mackenzie Gas Project, the Alaska Pipeline Project, Alaska LNG Project the Camisea Pipeline, Coastal GasLink and other major pipeline projects. Consultant to WorleyParsons/Advisian and other clients (see website

www.rsrci.com for more information on specific projects).

2000 - 2001

#### Senior Geomechanics Engineer / Manager, Geotechnical Advanced Geotechnology Inc., Calgary, AB, Canada

Senior geomechanics engineer and geotechnical engineering manager specializing in project planning, analysis, and numerical modelling related to petroleum production, heavy oil extraction using SAGD and other thermal pro-

# WORK EXPERIENCE (cont.)

cesses, borehole stability, in situ stress determination, site characterization, and application of microseismic and geotechnical monitoring technology.

#### 1998 – 2000

#### Senior Geotechnical Engineer, BGC Engineering Inc., Calgary, AB, Canada

Senior geotechnical engineer specializing in geomechanics-related projects. Typical projects: coordination and technical direction of thermal-mechanical stability studies at AECL's Underground Research Laboratory; geotechnical engineering gap analysis and project planning for Ontario Power Generation's Used Fuel Disposal Technology Program; numerical analysis of slope stability and soil/pipeline interaction; stability assessment of directionally-drilled boreholes; railway rock slope stabilization; foundation investigation and evaluation of stability of South Peak of Turtle Mountain – the site of the 1903 Frank Slide.

#### 1997 - 1998

#### Senior Geotechnical Engineer,

#### Klohn-Crippen Consultants Ltd., Calgary, AB, Canada

Senior geotechnical engineer specializing in rock mechanics-related projects. Typical projects: analysis and interpretation of results from geotechnical research at AECL's Underground Research Laboratory; assessment of instrumentation and rock bolt performance in spillway replacement project at St. Mary dam; analysis of drain design criteria; project management of geotechnical site investigations.

#### 1987-1997

#### Senior Geomechanics Research Engineer, AECL, Underground Research Laboratory, MB, Canada

Senior rock mechanics engineer for AECL's Geotechnical Science & Engineering Branch. Design, project management/ coordination, contract administration, analysis and reporting related to geomechanics research conducted to support the Canadian Nuclear Fuel Waste Management Program and Ontario Hydro's Used Fuel Disposal Project. Experiment Manager and Principal Investigator for the Mine-by Experiment, one of the world's foremost rock mechanics experiments addressing issues related to the Canadian concept for nuclear fuel waste disposal. Specialist in fundamental rock mechanics research related to tunnel design and excavation-induced damage for several large experiments, including the Tunnel Sealing Experiment, Excavation Stability Study, Heated Failure Tests and In Situ Characterization program.

#### 1985 - 1987

#### Geotechnical Engineer (EIT),

#### Golder Associates, Vancouver, BC, Canada

Geotechnical engineer (EIT) on various geotechnical projects including the Oldman River Dam site investigation and test diversion tunnel project in southern Alberta; quarry investigation in the NWT; Cigar Lake mine study in Saskatchewan; and other civil projects.

#### 1984 - 1985

#### Geotechnical Engineer (EIT),

#### CP Rail Special Projects, Revelstoke, BC, Canada

Construction inspection of twinning of the Rogers Pass section of the CPR mainline including aspects of rock and soil engineering, grade design, concrete testing, and contract administration.

#### 1979 - 1984

#### Summer Student,

#### BC Hydro, BC Ministry of Highways, CP Rail, Revelstoke, BC

Various engineering-related positions to support undergraduate studies, including surveying and railway grade construction.

### CONFERENCE VOLUNTEER

- 2013-2017 Banff Pipeline Workshop, Banff, Alberta. Co-chair Geohazards working session.
- 2014 International Pipeline Conference, Calgary Alberta. Chair, Session 6-3-1: Weather Related & Outside Forces—Water Hazard

#### **PUBLICATIONS**

#### **Books and Journal Publications**

- Rizkalla, M. and R.S. Read. 2017 (in prep). Pipeline Geohazards: Planning, Design, Construction and Operations. ASME
- Read, R.S. 2017. Excavation response studies at AECL's Underground Research Labortory 1982 to 2010. Chapter in Rock Mechanics and Engineering, CRC Press/Balkema.
- Rizkalla, M., R.S. Read, and G. O'Neil. 2008. Pipeline Geo-Environmental Design and Geohazard Management. Chapter 6 Geohazard Management. ASME, 352 pp.
- Read, R.S. 2004. 20 years of excavation response studies at AECL's Underground Research Laboratory. Int. J. Rock Mech. & Min. Sci. 41: 1251-1275.
- Eberhardt, E., D. Stead, B. Stimpson, and R. Read. 1998. Identifying crack initiation and propagation thresholds in brittle rock. *Can. Geotech. J.*, **35(2)**: 222-233.
- Read, R.S., N.A. Chandler, and E.J. Dzik. 1998. In situ strength criteria for tunnel design in highly-stressed rock masses. *Int. J. Rock Mech. & Min. Sci.*, **35(3)**: 261-278.
- Maxwell, S.C., R.P. Young, and R.S. Read. 1998. A microvelocity logging tool to assess the excavation damaged zone. *Int. J. Rock Mech. & Min. Sci.*, **35(2)**:235-247.
- Eberhardt, E., D. Stead, B. Stimpson, and R.S. Read. 1997. Changes in acoustic event properties with progressive fracture damage. *Int. J. Rock Mech. & Min. Sci.*, **34**:3-4, Paper No. 071B.
- Martin, C.D., R.S. Read, and J.B. Martino. 1997. Observations of brittle failure around a circular test tunnel. *Int. J. Rock Mech. & Min. Sci.*, **34(7)**: 1065-1073.
- Martin, C. Derek, Neil A. Chandler, and Rodney S. Read. 1996. The role of convergence measurements in characterizing a rock mass. *Can. Geotech. J.*, 33: 363-370.
- Martino, Jason B., and Rodney S. Read. 1996. An overview of AECL's Heated Failure Tests. *ISRM Newsjournal*, **4(1)**: 24-31.
- Read, R.S., and C.D. Martin. 1991. The Underground Research Laboratory Mine-by Experiment A research perspective on tunnel design. *Canadian Tunnelling*, 7:75-88.

#### **Conference Publications**

- Read, R.S., J. E. Malpartida Moya, and G. Massucco de la Sota. 2017. Framing uncertainty in pipeline geohazard assessment for integrity management and iterative risk assessment. Proceedings of the ASME 2017 International Pipeline Geotechnical Conference, IPG2017, July 25-26, 2017, Lima, Peru. Paper IPG2017-2505
- Read, R.S. and M. Rizkalla. 2015. Bridging the gap between qualitative, semi-quantitative and quantitative risk assessment of pipeline geohazards the role of engineering judgment. Proceedings of the 2<sup>nd</sup> ASME International Pipeline Geotechnical Conference IPG2015, July 15-17, 2015, Bogotá, Colombia, Paper IPG2015-8523.
- Rizkalla, M. and R.S. Read. 2013. Overview of pipeline geohazard assessment approaches and strategies. Paper No. IPG2013-1950, ASME 2013 International Pipeline Geotechnical Conference, Bogotá, Colombia, July 24-26, 2013.
- Read, R.S., Birch, K. 2008. The role of rock engineering in developing a deep geological repository in sedimentary rocks. ROCKENG09: Proceedings of the 3rd CANUS Rock Mechanics Symposium, Toronto, May 2009 (Ed: M.Diederichs and G. Grasselli), Paper 4146.
- Read, R.S., Birch, K. 2008. Reasoned argument why large-scale fracturing will not be induced by a deep geological repository. ROCKENGO9: Proceedings of the 3rd CANUS Rock Mechanics Symposium, Toronto, May 2009 (Ed: M.Diederichs and G. Grasselli), Paper 4147.
- Rizkalla, M., and R.S. Read. 2007. The assessment and management of pipeline geohazards. Paper IBP1205 07. In Proc. Rio Pipeline 2007 Conference and Exposition, Rio de Janeiro, Brazil.
- Read, R.S, W. Langenberg, D. Cruden, M. Field, R. Stewart, H. Bland, Z. Chen, C.R. Froese, D.S. Cavers, A.K. Bidwell, C. Murray, W.S. Anderson, A. Jones, J. Chen, D. McIntyre, D. Kenway, D.K. Bingham, I. Weir-Jones, J. Seraphim, J. Freeman, D. Spratt, M. Lamb, E. Herd, D. Martin, P. McLellan, & D. Pana. 2005.

- Frank Slide a Century Later: The Turtle Mountain Monitoring Project. In Proc. of the International Conference on Landslide Risk Management, Vancouver, B.C. Canada. Balkema Publishers, Netherlands. pp. 713-723.
- Froese, C.R., Murray, C.M., Cavers, D.S., Anderson, W.S., Bidwell, A.K., Read, R.S., Cruden, D.M., & Langenberg, W. 2005. The development of a warning system for the South Peak of Turtle Mountain. Proceedings of the International Conference on Landslide Risk Management, Vancouver, B.C. Canada. Balkema Publishers, Netherlands. pp. 705-712.
- Read, R.S. 2003. A framework for monitoring the South Peak of Turtle Mountain the aftermath of the Frank Slide. In Proc. 3rd Canadian Conference on Geotechnique and Natural Hazards, Edmonton, Alberta, Canada June 9 10, 2003. pp 261-268.
- Read, R.S.. 2003. The role of tunnel design in controlling excavation damage development. Presented at EURATOM CLUSTER Conference, Nov 2004, Luxembourg.
- Read, R.S. and J.B. Martino. 2002. To arch or not to arch the role of tunnel design in controlling excavation damage development. In Proc. of the EDZ Workshop, NARMS-TAC 2002, July 6, 2002, Toronto, Ontario, Canada.
- Chandler, N.A., J.B. Martino, and R.S. Read. 2002. The EDZ exists So what? In Proc. of the EDZ Workshop, NARMS-TAC 2002, July 6, 2002, Toronto, Ontario, Canada.
- Isherwood, A., K.W. Savigny, A. Samchek and R.S. Read. 2002. Deformation analysis of a pipeline river crossing. Proc. IPC 2002: International Pipeline Conference, Sept 29 Oct 3, 2002, Calgary, Alberta, Canada.
- Chandler, N.A., R.S. Read, D. Potyondy, R.P. Young, and J. Hazzard. 2002. Computing brittle rock fracture and excavation stability using the Particle Flow Code. In Proc. 2<sup>nd</sup> Canadian Specialty Conference on Computer Applications in Geotechnique, April 28-30, 2002. Winnipeg, Manitoba, Canada.
- McLellan, P.J., C.D. Hawkes, and R.S. Read. 2000. Sand production and control in horizontal wells for gasstorage reservoirs. In Proc. 4<sup>th</sup> International Conference and Exhibition on Horizontal Well Technology, Nov. 6-8, Calgary AB.
- McLellan, P.J., R.S. Read, and K. Gillen. 2000. Assessing caprock integrity for steam assisted gravity drainage projects in heavy oil reservoirs. In Proc. 4<sup>th</sup> International Conference and Exhibition on Horizontal Well Technology, Nov. 6-8, Calgary AB.
- Chandler, N.A., R. Read, P. Cundall, D. Potyondy, E. Detournay, R.P. Young, and J.S.O. Lau. 2000. An integrated approach to excavation design a project within Canada's used fuel disposal program. In *Proc.* 4<sup>th</sup> North American Rock Mechanics Symposium, Seattle, WA, pp. 1271-1278.
- Read, R.S., and N.A. Chandler. 2000. Linkage between performance assessment, repository design and site characterization a Canadian perspective. In Proc. 2<sup>nd</sup> International Workshop on Geomechanics of Nuclear Waste Repositories, July 2000, Seattle, WA.
- Read, R.S., K.W. Savigny, F. Oboni, D.M. Cruden, and W. Langenberg. 2000. Geotechnical hazard assessment of the south flank of Frank Slide. In *Proc. GeoCanada 2000, Calgary, AB.*
- Read, R.S., and N.A. Chandler. 1999. Excavation damage and stability studies at the URL rock mechanics considerations for nuclear fuel waste disposal in Canada. *In Proc.* 37<sup>th</sup> US Rock Mech. Symp., Vail, CO: Balkema: Rotterdam, pp. 861-868.
- Chandler, N.A,. and R.S. Read. 1998. The long-term behaviour of excavations in granite In situ evidence from Canada's URL and implications for waste retrieval. In Proc. International Workshop on Reversibility, Paris, ANDRA.
- Leite, Maria Helena, Robert Corthèsy, Denis E. Gill, and Rodney Read. 1997. Some aspects of a stress calculation model for deep measurements using the modified doorstopper cell. In *Proc. Int. Symp. on Rock Stresses*, Kumamoto, Japan: Balkema:Rotterdam, pp. 65-70.
- Read, R. S., and N. A. Chandler. 1997. Minimizing excavation damage through tunnel design in adverse stress conditions. In *Proc.* 23<sup>rd</sup> General Assembly Int. Tunnel. Assoc., World Tunnel Congress `97, Vienna. Balkema: Rotterdam, pp. 23-28.
- Read, R. S. 1996. Characterizing excavation damage in highly-stressed granite at AECL's Underground Research Laboratory. In Proc. Int. Conf. on Deep Geological Disposal of Radioactive Waste, EDZ Workshop, Winnipeg, pp. 35-46.

- Martin, C. D., E. J. Dzik, and R. S. Read. 1996. Designing an effective excavation damaged zone cut-off in high stress environments. In Proc. Int. Conf. on Deep Geological Disposal of Radioactive Waste, EDZ Workshop, Winnipeg, pp. 155-164.
- Read, R. S. 1996. Rock mechanics research at AECL's Underground Research Laboratory An overview. In Prog. Symp. on Contributions to Geology by the Canadian Nuclear Fuel Waste Management Program, Winnipeg, p. A-78.
- Read, R. S., and J. B. Martino. 1996. Effect of thermal stresses on progressive rock failure at AECL's Underground Research Laboratory. In Proc. Int. Conf. on Deep Geological Disposal of Radioactive Waste, Winnipeg, pp. 7-43 7-53.
- Read, Rodney S., and Jason B. Martino. 1996. In situ thermal testing at AECL's Underground Research Laboratory. In M. Aubertin, F. Hassani and H. Mitri, editors, *Proc.* 2<sup>nd</sup> *North American Rock Mech. Symp., Montreal*: Balkema: Rotterdam, pp. 1487-1494.
- Martin, C. Derek, and Rodney S. Read. 1996 AECL's Mine-by Experiment: A test tunnel in brittle rock. In M. Aubertin, F. Hassani, and H. Mitri, editors, *Proc.* 2<sup>nd</sup> North American Rock Mech. Symp., Montreal: Balkema: Rotterdam, pp. 13-24.
- Chandler, Neil A., Rodney S. Read, and C. Derek Martin. 1996. In situ stress measurements for nuclear fuel waste repository design. In M. Aubertin, F. Hassani and H. Mitri, editors, Proc. 2<sup>nd</sup> North American Rock Mech. Symp., Montreal: Balkema:Rotterdam, pp. 929-936.
- Chandler, Neil A., Rodney S. Read, and Alan W. L. Wan. 1996. Implications of the results of URL experiments on the design of repository seals in granite. In *Proc. Int. Conf. on Deep Geological Disposal of Radioactive Waste, Winnipeg*, pp. 7-1 7-10.
- Hayles, J.G., M.H. Serzu, G.S. Lodha, and R.S. Read. 1996. Cross-hole seismic tomography for the Mine-by Experiment. In *Proc. Soc. Expl. Geophysicists Int. Exposition, Denver*, Vol. 1, pp. 904-907.
- Lodha, G.S., J.G. Hayles, G.W. Kuzyk, and R.S. Read. 1996. Review of geophysical techniques used for understanding rock mass damage with examples from controlled experiments at the Underground Research Laboratory, Pinawa, Manitoba, Canada. Presented at FRAGBLAST '96 Conference, Montreal.
- Read, Rodney S., C. Derek Martin, and Edward J. Dzik. 1995. Asymmetric borehole breakouts at the URL. In J. Daemen and R. Schultz, editors, *Proc.* 35<sup>th</sup> U.S. Rock Mech. Symp., Lake Tahoe: Balkema: Rotterdam, pp. 879-884.
- Martin, C.D., R.S. Read, and E.J. Dzik. 1995. Near-face cracking and strength around underground openings. In H. P. Rossmanith, editor, Proc. 2<sup>nd</sup> Int. Conf. on Mechanics of Jointed and Faulted Rock, Vienna: Balkema: Rotterdam, pp. 747-752.
- Martin, C.D., N.A. Chandler, and R.S. Read. 1994. The role of convergence measurements in characterizing a rock mass. In Proc. 47<sup>th</sup> Can. Geotech. Conf., Halifax, pp. 408-417.
- Read, R.S., and C.D. Martin. 1992. Monitoring the excavation-induced response of granite. In J. R. Tillerson and W. R. Wawersik, editors, Proc. 33<sup>rd</sup> U.S. Symp. on Rock Mech., Santa Fe: Balkema: Rotterdam, pp. 201-210.
- Martin, C.D., and R.S. Read. 1992. The *in situ* strength of massive granite around excavations. In P. K. Kaiser and D. McCreath, editors, *Proc.* 16<sup>th</sup> Can. Rock Mech. Conf., Sudbury, pp. 1-10.
- Thompson, P.M., B.H. Kjartanson, and R.S. Read. 1992. Design and construction of two major experiments at the URL. In Proc. 1992 International High-Level Radioactive Waste Management Conference, Las Vegas, NV, Vol. 1, pp. 1082-1089.
- Onagi, D.P., R.S. Read, and G.W. Kuzyk. 1991. AECL's Mine-by Experiment from concept to construction. In *Proc. SME Conference,* Denver.
- Read, R.S., and C.D. Martin. 1990. The Underground Research Laboratory Mine-by Experiment A research perspective on tunnel design. In *Proc.* 8<sup>th</sup> *Canadian Tunnelling Conf., Vancouver, BC*: BiTech: Vancouver, pp. 213-226.
- Martin, C.D., R.S. Read, and P.A. Lang. 1990. Seven years of *in situ* stress measurements at the URL An overview. In W. A. Hustrulid and G. A. Johnson, editors, *Proc.* 31<sup>st</sup> U.S. Symp. Rock Mech., Golden, CO: Balkema: Rotterdam, pp. 15-26.
- Martin, C.D., R.S. Read, and N.A. Chandler. 1990. Does scale influence in situ stress measurements? Some findings at the Underground Research Laboratory. In A. Pinto da Cunha, editor, *Proc.* 1st Int. Workshop on Scale Effects in Rock Masses, Loen, Norway, Balkema: Rotterdam, pp. 307-316.

#### CNL, NWMO, AECL, SKB and Other Publications

- McCrank, G.F., Campbell, K., Everitt, R.A., Priyanto, D.G., Pucciarelli, D.M., Read, R.S., Sharp, K.J., Siddiqui, J.A., Stephenson, K., Stroes-Gascoyne, S., Thompson, P.M., Vilks, P. 2016. Geologic Waste Management Facility Descriptive Geosphere Site Model Report: Phase I. Canadian Nuclear Laboratories (CNL) Report No. 361101-10260-REPT-005, Chalk River, Ontario, Canada.
- McCrank, G.F., Campbell, K., Chshyolkova, T., Everitt, R.A., Kitson, C., Priyanto, D.G., Pucciarelli, D.M., Read, R.S., Sharp, K.J., Siddiqui, J.A., Stephenson, K., Stroes-Gascoyne, S., Thompson, P.M., and Vilks, P. 2016. Geologic Waste Management Facility Integrated Geosynthesis Report: Phase I. Canadian Nuclear Laboratories (CNL) Report No. 361101-10260-REPT-004, Chalk River, Ontario, Canada.
- Read, Rodney S. 2011. Effects of earthquake induced rock shear on containment system integrity. Laboratory testing plan development. Swedish Nuclear Fuel and Waste Management Company Report SKB R-11-21.
- Read, R.S. 2010. Rock mechanics features, events, and processes for a used fuel deep geologic repository in crystalline rock. Nuclear Waste Management Organization Technical Report NWMO TR-2010
- Read, R.S. 2009. Implications of excavation damage for design and performance of repository excavations and sealing systems. Nuclear Waste Management Organization Technical Report NWMO TR-2009
- Read, R.S. 2008. Developing a reasoned argument that no large-scale fracturing or faulting will be induced in the host rock by a deep geological repository. Nuclear Waste Management Organization Technical Report NWMO TR-2008-14.
- Read, R.S. 2008. The role of rock engineering in developing a deep geological repository in sedimentary rock. Nuclear Waste Management Organization Technical Report NWMO TR-2008-16.
- Read, R.S. 2008. Laboratory testing of rock shear effects on containment system integrity (2008 update). Nuclear waste Management Organization Technical Memorandum, November 2008 (Ro)
- Read, R.S. 2006. Laboratory testing to support the Rock Shear Experiment (ROSE). RSRead Consulting Inc. Report 10-019.2 to Swedish Nuclear Fuel and Waste Management Company, December 7, 2006.
- Read, R.S. and N.A. Chandler. 2002. An approach to excavation design for a nuclear fuel waste repository the Thermal-Mechanical Stability Study final report. UFDP Report 06819-REP-01200-10086-Roo.
- Read, R.S. and N.A. Chandler. 2002. Development and integration of tools for engineering design of repository sealing systems (ENDRES), Project status March 2002. UFDP Report 06819-REP-01300-10051-R00.
- Martino, J.B., N.A. Chandler, R.S. Read and C. Baker. 2002. Response of the Tunnel Sealing Experiment concrete bulkhead to pressurization. Ontario Power Generation Report No. 06819-REP-01200-10085-Roo.
- Read, R.S. and N.A. Chandler. 2001. Engineering design of repository sealing systems (ENDRES) project plan. Ontario Power Generation Technical Memorandum o6819(UF)-03782.04-T10.
- Read, R.S., N.A. Chandler, J.B. Martino, R.P. Young, P.Cundall, D. Potyondy, J. Lau, B. Gorski, E. Detournay, and Y. Ates. 2001. The Thermal-Mechanical Stability Study: excavation design for a nuclear waste repository. Ontario Power Generation Report.
- Chandler, N.A., and R.S. Read. 2000. Thermal-Mechanical Stability Studies Project Status March 2000. UFDP Report 06819-REP-01200-10030-R00.
- Read, R.S. 1999. Engineering gap analysis for the Used Fuel Disposal Program Geotechnical engineering tools and capabilities. Ontario Power Generation Report 06819-REP-01200-10010-Roo.
- Chandler, N.A., and R.S. Read. 1999. Thermal-Mechanical Stability Studies Project Status 1999 March. UFDP Report o6819-REP-01200-10001-Roo.
- Martino, J.B., P.M. Thompson, N.A. Chandler, and R.S. Read. 1998. The in situ stress program at AECL's Underground Research Laboratory 15 years of research (1982-1997). UFDP Report 06819-REP-01200-0053-Roo.
- Read, R.S., J.B. Martino, N.A. Chandler, and E.J. Dzik. 1998. Excavation stability study analysis and interpretation of results. UFDP Report o6819-REP-01200-0028 Roo.
- Read, R.S., J.B. Martino, N.A. Chandler, E.J. Dzik, S. Oliver, S. Falls and R.P. Young. 1998. Analysis and interpretation of AECL's Heated failure Tests. UFDP Report 06819-REP-01200-0070-Roo.
- Read, R.S. 1997. Progress report on development and integration of acoustic emission/microseismic (AE/MS) technology and numerical modelling. UFDP Report 06819-REP-01200-0042 Roo.

- Read, R.S. 1997. Effect of rock mass quality on selection of a waste emplacement option. UFDP Report 06819-REP-01240-0003 Roo.
- Thompson, P.M., J.B. Martino, N.A. Chandler, and R.S. Read. 1997. Summary of the URL In Situ Stress Program. UFDP Report o6819-REP-01200-0053 Roo.
- Chan, T., M. Kolar, P.A. O'Connor, N.W. Scheier, and F.W. Stanchell with contributions by C.C. Davison, L.H. Frost, B.W. Nakka, N.A. Chandler, R.S. Read, J.D. Garroni, C.I. Kitson, D.M. Leneveu, L.H. Johnson and R. Zach. 1997. Finite-Element Sensitivity Analysis of Effects of an Excavation Damage Zone on 129I Transport from a Used CANDU Fuel Waste Disposal Repository. UFDP Report 06819-REP-01200-0022 Roo.
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### Ph.D. THESIS SUMMARY

### Interpreting Excavation-Induced Displacements around a Tunnel in Highly Stressed Granite (R.S. Read, 1994)

In Canada, as in many countries that employ nuclear power, the current concept for dealing with used nuclear fuel waste is deep underground disposal in crystalline rock such as granite. One of the primary concerns in this concept is the development of damage, or cracking, around excavations, which can potentially contribute to the migration of radionuclides. To address this concern, the fundamental response of the rock mass to excavating underground openings such as shafts and tunnels must be understood. The measurement and interpretation of excavation-induced displacements, i.e., movements of the rock mass as it adjusts to the introduction of a tunnel, play a key role in this respect. For example, in the absence of appreciable damage around a tunnel, measured displacements have been used to calculate the initial stresses in the rock mass. In rock damaged during excavation, displacements measured around a tunnel in known stress conditions have been used to determine the extent and characteristics of the damaged zone. These two applications tend to be mutually exclusive.

This thesis addresses the problems associated with interpreting displacements caused by excavating a cylindrical tunnel in conditions where the stresses concentrated near the opening are sufficient to damage the rock. A new technique using displacements measured near the tunnel face is developed to calculate the initial stresses in the rock mass, and is applied to a test tunnel in granite at AECL's Underground Research Laboratory (URL), where extensive damage is evident in parts of the tunnel. The displacements measured around this tunnel constitute a data set unparalleled anywhere in the world in terms of precision and quantity. The stresses estimated from these data are, in turn, used in conjunction with results from a field investigation and computer modelling to determine the extent and characteristics of damage around the tunnel, and the processes responsible for its development. It is concluded that both the initial stress state, and the extent and characteristics of damage around the excavation, can be interpreted from displacements measured around a single tunnel.

There are several original contributions to the field of rock mechanics represented by this thesis. In terms of analytical approaches, the method used to determine the initial stresses from displacements measured near the tunnel face has not been covered in the literature to this time. This approach is shown to be important in highly stressed rock masses where other stress measurement techniques do not work. The results at the 420 Level of the URL, for example, are significant in that they represent a refinement of previous stress estimates. The mathematical functions associated with this method are also new. In particular, the equations relating stresses and displacements for a cylindrical tunnel represent a significant improvement over previous relationships used for such purposes as designing tunnel support. Curvature of the tunnel face and stepped longitudinal tunnel geometry are two aspects of real tunnels that are generally overlooked in interpreting measured displacements. Both are addressed in the thesis and are shown to be important considerations. Finally, instruments installed from within a tunnel to measure rock displacements are shown to have several limitations that have not been considered in the literature. The method of interpretation presented in the thesis accounts for these limitations.

The specific interpretation, based on the estimated initial stresses, of the extent and characteristics of the damaged zone around the AECL's Mine-by Experiment test tunnel considerably enhances the fundamental understanding of the response of highly stressed granite to excavation. First, it suggests that there is a relationship between the stresses concentrated ahead of the advancing tunnel face and the eventual development of asymmetric patterns of large-scale damage, or breakouts, inside a tunnel. Second, it shows that the grain size and grain structure of the rock mass significantly influence the development of damage. Finally, it shows that excavation damage in zones of tensile stresses around the tunnel accounts for larger than expected displacements in these regions. It is of considerable interest that this tensile damage is not visible with the naked eye, but could, nonetheless, increase the potential for transport of radionuclides, either by diffusion or by groundwater flow. Identification of these regions of damage is therefore important in designing future experiments to assess the issue of radionuclide transport along engineered openings.